PTO/SB/21 (08-03)
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			Applic	ation Number	10/701,8	384	
	NSMITTAL		Filing	Date	Novemb	per 5, 2003	
F	FORM		First N	lamed Inventor	David L.	Adler	
(to be used for all co	rrespondence after initial	l filing)	Art Un	nit	2878	· · · · · · · · · · · · · · · · · · ·	
•	• .		Exam	ner Name	not yet k	nown	
Total Number of Pages	s in This Submission	6 **	Attorn	ey Docket Number	10011.0	01310 (P0981)	
		ENCLO	SURES	(check all that apply)	_		
Fee Transmittal F	orm	(for an A	ment Par		After A	Allowance Communication to	_
☐ Fee Attached		☐ Drawing(s) ☐ Licensing-related Papers			al Communication to Board o als and Interferences	f	
Amendment / Rep	oly	Petition	1		Appea (Appe	al Communication to Group al Notice, Brief, Reply Brief)	
☐ After Final			to Conv		1	ietary Information	
☐ Affidavits/decl	aration(s)	Power	of Attorno	ey, Revocation espondence Address	☐ Status	s Letter	·
Extension of Time	Request	Termina	al Disclai	mer	Other (please	r Enclosure(s) e identify below):	
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☑ Information Disclo	sure Statement			<u></u>			
Certified Copy of I Document(s)	Priority	Remar	ks	** does not include	pages of list	ted references	
Response to Miss Incomplete Applic							
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	SIGNAT	URE OF A	\PPLIC	ANT, ATTORNEY, C	R AGENT		
Firm <i>or</i> Individual name	James K. Okamoto, Re OKAMOTO & BENEDIO						
Signature	Jan	K. (Ma	~~~			
Date	February 13, 2004						
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	t postage as first cla	ass mail in				d with the United States Pos r for Patents, P.O. Box 145	
Typed or printed name	James K. Okan	noto		·			
Signature	9	16.	<u> </u>	~	Date	February 13, 2004	

This collection of information is required by 3D/CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In re Application of:

David L. Adler

Serial No. 10/701,884

Filed:

November 5, 2003

Title: Method and Apparatus for High-

Speed Inspection and Review

Examiner: not yet known

Art Unit: 2878

Atty. Dkt. No.: 10011.001310 (P0981)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

\boxtimes	37 CFR §1.97(b).
	37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
	OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	37 CFR § 1.97(d). If so, then both: □ Applicant certifies pursuant to 37 CFR § 1.97(e) that either: □ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
	AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

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Dated: February 13, 2004	Ŀ

Respectfully submitted,

David L. Adler

By:

James K. Okamoto

Attorney For Applicant(s)

Reg. No. 40,110

OKAMOTO & BENEDICTO LLP

P.O. Box 641330

San Jose, CA 95164-1330

Tel.: (408) 436-2110 Fax: (408) 436-2114

		CERTIFICATE	OF MAILING		
I hereby certify that deposited with the Uni Commissioner for Paten Express Mail Mailing N United States Postal S	ted States Post ts, P.O. Box 14 umber is filled	tal Service as fi 150, Alexandria, d in below, then	rst class mail : VA 22313-1450 or this corresponde	in an envel n the date ence is bei	lope addressed to: shown below. If the ing deposited with the
Signature:	Jen K	. Olan	5		
Typed or Printed Name:	James K. Ok	amoto	-	Dated:	February 13,2004
Express Mail Mailing (optional):	g Number				

PTO/SB/08a (08-03)

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TRADEMARY Substitute for form 1449A/PTO Complete if Known 10/701,884 Application Number INFORMATION DISCLOSURE Noember 5, 2003 Filing Date STATEMENT BY APPLICANT David L. Adler First Named Inventor Art Unit 2878 (Use as many sheets as necessary) Examiner Name not yet known of 2 10011.001310 (P0981) Sheet Attorney Docket Number

Examiner Cite No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of		
		Number - Kind Code ² (if known)		Cited Document	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear	
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		FOREIGN PA	TENT DOCU	MENTS		
Examiner Cite Initials* No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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Examiner Signature	Date Considered	·	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Signature

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

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	Complete if Known	
Application Number	10/701,884	
Filing Date	November 5, 2003	
First Named Inventor	David L. Adler	
Art Unit	2878	
Examiner Name	not yet known	
Attorney Docket Number	10011.001310 (P0981)	フ
Attorney Docket Number	10011.001310 (P0981)	

Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
В		VENEKLASEN, LEE H., "The continuing development of low-energy electron microscopy for characterizing surfaces", Rev. Sci. Instrum, December 1992, pages 5513-5532, Vol. 63, No. 12, American Institute of Physics.			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.